REMARKS

By the present amendment, applicant is limiting the claimed polymers to Nylon 12 and PET and the nanocomposite to nano-tubes and nano ceramic fibers.

Nylon 12 is commonly known as a homo-polymer and is not a nylon block copolymer. Clearly Nylon 12 and PET are different than block Nylon block copolymer as called for in the Acquarulo WO 01/34685.

Further the Acquarulo publication is limited to nano clays which react with the polymer, that is to say, the nano clays are reacted with the polymer matrix and nano-tubes are not. The nano-tubes or nano ceramic fibers are added to the polymer matrix as a nanoreinforcement agent due to their strength. Further, the nano size is ideal to be used in thin films where high temperature processing is required (extrusion). A gross comparison would be adding glass fiber to polyester (fiberglass). Still further, carbon nanotubes achieve a high oxidation state, carbon is very stable and remains an independent entity within the polymer matrix.

Applicant makes reference to a commercial product called **Nanocor**TM. Enclosed is a website printout concerning Nanocor products and patents, information on nano and polymer composites as well as a glossary of terms that further define the difference between a passive filler (carbon nano particles) and an active chemical (nanoclays).

The Examiner's rejection of claims 1-25 for being anticipated by the Acquarulo et al., Published Application US 2003/0229184, as this rejection may be attempted to be applied to the amended claims, is respectfully traversed.

In support of this traverse, applicant points out that the polymers now called for in the claims, namely Nylon 12 and PET are not disclosed in or suggested by Acquarulo which calls for nylon block copolymers. Nylon 12 and PET are not commonly known as being block copolymers. Applicant does not teach the use of Nylon block copolymers and the ordinary meaning of Nylon 12 and PET idoes not include they being block copolymers.

Note that Acquarulo states:

"the present invention also relaters to a balloon type catheter having a tubular shaft comprising a nylon *block copolymer* and a nano clay filler, including a compound which promotes crosslinking therein, the improvement comprising *irradiation crosslinking said nylon block copolymer* of the balloon section." (Emphasis added – page 6, lines 7-11)

Additionally, claims 7-9 of Acquarlo spell out the types of block copolymers he is referring to.

Further, as the Examiner has noted, Acquarulo does not teach or suggest nano-tubes or nano ceramic fibers, now called for in all the remaining claims. The Examiner has contended that there is no difference between nano clays and nano-tubes or nano ceramic fibers. This is not true since the smecite clay family in which nano clays are found are reacted with the polymers in Acquarulo's matrix. This is clearly brought out by Acquarlulo's teaching of the use of crosslinking agents and preferably the use of radiation. As, clearly stated by Acquarulo:

"the nano clay may be combined with another chemical ingredient,, such as a crosslinking agent, to thereby provide a unique synergistic effect on mechanical property performance." (page 1, lines 4-7)

Further, Acquarulo states:

"it has been found herein that upon incorporation of a crosslinking promoter, the effect of the nano clay is enhanced, in the sense that a synergy is observed between the promoter and the nano clay on mechanical properties." (page 5, lines 24-27)

The synergy is an increase in the flexural modulus, which increases the rigidity of a tubular shaft of a balloon catheter.

Applicant provides nano tubes or nano ceramic fibers in a polymer matrix to reinforce the matrix to obtain a stronger balloon in a balloon catheter and does not teach reacting a nano clay with a crosslinking compound and Nylon block copolymers to increase the flexural modulus.

Applicant does not react the polymer with the nano-tubes or nano ceramic fibers using a cross linking agent and applicants resulting reinforced polymer matrix comprises different ingredients than the Acquarulo polymer matrix.

Further, applicant orients the nano-tubes or nano ceramic fibers in the formation of his balloon. Acquarulo does not at all teach or suggest orientation of his nano clay particles.

Accordingly, applicant submits that the claimed balloon is different than and not obvious from the teachings of Acquarulo and is made with a method different than the Acquarulo method.

In summary, for the reasons set forth above, the balloon catheter now defined even more clearly in the amended claims is novel and not obvious from the teachings of Acquarulo.

An earnest endeavor has been made to place this application in condition for allowance, and an early and favorable action to that end is requested.

Respectfully submitted,

June 1, 2005

Date

Thomas R. Vigil

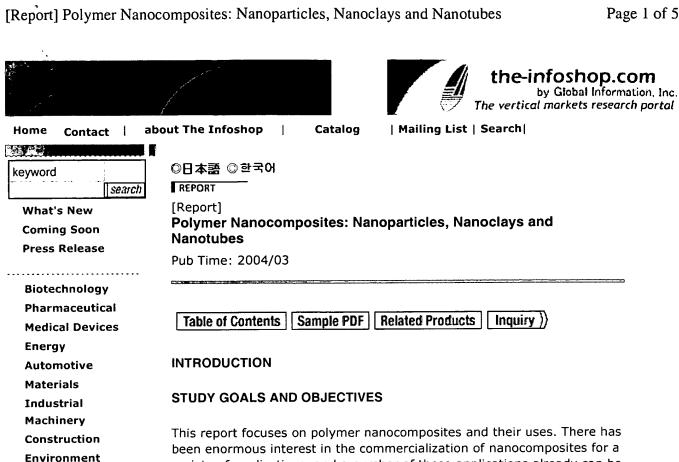
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CHICAGO, IL 60606-3912

Direct Telephone: 1-312-775-0407 Telephone: 1-312-655-1500 Fax: 1-312-655-1501

Email: trvigil@welshkatz.com



This report focuses on polymer nanocomposites and their uses. There has been enormous interest in the commercialization of nanocomposites for a variety of applications, and a number of these applications already can be found in the marketplace.

For decades, mineral fillers, metals and fibers have been added to thermoplastics and thermosets to form composites. Compared to neat resins, these composites have a number of improved properties including tensile strength, heat distortion temperature and modulus. Thus for structural applications, composites have become very popular and are sold in billion-pound quantities. These filled thermoplastics are sold in even larger volumes than neat thermoplastics.

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Furthermore, the volume of fillers sold roughly equals the volume of thermoplastic resin sold. Clearly, the idea of adding fillers to thermoplastics and thermosets to improve properties, and in some cases decrease costs, has been very successful for many years.

Thermoplastics have become part of the fabric of modern life. Billions of pounds of these materials are sold annually, and the rate of thermoplastic production is increasing. These materials are ubiquitous and found in homes, cars, offices, and a host of other places. Thermoplastics have grown in acceptance in our society because they perform well for their cost.

More recently, advances in synthetic techniques and the ability to characterize materials readily on an atomic scale have lead to interest in nanometer-size materials, e.g., grains, fibers and plates. They have dramatically increased surface area compared to conventional-size

Polymer nanocomposites combine composites and nanometer size

materials, and the chemistry of nanosize materials is altered in

comparison to conventional materials.

Wireless & Mobile Communication Fiber Optics & CATV **Internet Access Technologies** Internet / E-Commerce Corporate & Home Networking **Customer Care &** Billing Software & IT Regional Telecom Markets

Public Network &
Switching
Technology
Company Profiles &
Competitive
Analysis
Devices /
Components
Satellite
Communication &
Broadcasting

materials. Thermoplastics filled with nanometer size materials have properties different from thermoplastics filled with conventional materials. Some of these properties, such as increased tensile strength, may be achieved by using higher conventional filler loading at the expense of increased weight and decreased gloss. Other properties, such as clarity or improved barriers, cannot be duplicated by filled resins at any loading.

Polymer nanocomposites were developed in the late 1980s by both commercial research organizations and academic laboratories. Toyota was the first company to commercialize these nanocomposites, and it used nanocomposite parts in one of its popular models for several years. Following Toyota's lead, a number of other companies also began investigating nanocomposites.

Most of the commercial interest in nanocomposites has been focused on thermoplastics. They can be broken into two groups: less expensive commodity resins and the more expensive (and higher performance) engineering resins. One of the goals of nanocomposites was to permit substitution of more expensive engineering resins with a less-expensive commodity resin nanocomposite. Substituting a nanocomposite commodity resin with equivalent performance as a more expensive engineering resin should yield overall cost savings.

Using a strict definition of nanocomposites, i.e., any filler submicron in size, there already are significant volumes of nanocomposites being produced (probably more than 100 million pounds). However, the fillers, carbon black, fumed silica and calcium carbonate, do not alter the performance of the composite dramatically when compared to conventional size fillers. Furthermore, these materials have been known and used for decades. Often, particles used in composites are agglomerates of smaller particles. This was unknown until microscopy developed to the point where it could characterize these particles more fully.

Much of the research interest in nanocomposites was jump-started by the National Nanotechnology Initiative (NNI). More research money was provided by this initiative than was spent on the Human Genome Project. For example, NNI funding exceeded \$600 million in 2003 and continues to increase.

The goals of the NNI have been adopted by many nanotechnology researchers (who are looking for funding, of course):

- Research and technology development at the atomic, molecular or macromolecular levels, in the length scale of approximately 1 nanometer to 100 nanometer range.
- Creating and using structures, devices and systems that have novel properties and functions because of their small and/or intermediate size.
- Ability to control or manipulate on the atomic scale; nanotechnology implies that new materials and applications are being developed to specifically exploit the properties found in this size range.

Consequently, this report excludes composites made from conventional materials, even if they are composed of particles that meet the strict dictionary size definition of nanoparticles.

At this point in time, there has been much less open commercial interest in thermoset nanocomposites compared to thermoplastics. Yet thermoplastics have been able to dominate a major coating market in a relatively short time frame.

Nanocomposites have proven to be more difficult to manufacture than first anticipated, but new materials in pilot plants and laboratories may be able to live up to much of their initial promise. Greater understanding of the chemistry driving the formation of nanocomposites has enabled researchers to discover practical production methods for these materials.

Nanocomposites offer improvements in several of the properties of thermoplastics including tensile strength, modulus, barrier and heat distortion temperature. If a nanocomposite could offer these improvements at no additional cost, then it quickly would replace a large percentage of unfilled thermoplastics. Unfortunately, improved performance of a nanocomposite compared to a thermoplastic comes with an increase in price.

Therefore, replacement will not come on a wholesale basis, but will take place in applications where improved performance of a nanocomposite justifies the price increase. Nanocomposites are not going to be commodity materials. They are specialty materials that will carry a price premium for the foreseeable future.

Since nanocomposites will not completely replace any particular unfilled resin, over the next 5 years, amounts of nanocomposites will be modest by thermoplastic standards. However, nanocomposites already are produced in multimillion-pound quantities and these applications should increase dramatically during the next half-decade.

This report summarizes and describes current nanocomposite products, and covers some of the future developments involving these materials. It also covers a number of applications for these nanocomposites, and estimates possible future markets for them.

Armed with this information, readers with business interests then can make sound judgments regarding marketing strategies, investment decisions, or strategic plans concerning markets for polymer nanocomposites. This report was written to be readily accessible for readers with a business background, but accuracy concerning the technical aspects of polymer nanocomposite manufacture has not been sacrificed.

REASONS FOR DOING THE STUDY

While there has been considerable ballyhoo in the popular press regarding the wonders of polymer nanocomposites, it is difficult to get solid information on how many of these nanocomposites are being produced and sold. Furthermore, many articles have presented wildly misleading

information concerning the manufacture, markets and applications of these materials. This report offers a timely picture of trends in polymer nanocomposites that cannot be obtained from other sources.

CONTRIBUTION OF THE STUDY

This report discusses the current and future sizes of the polymer nanocomposite market on a global basis. The U.S. is, and probably will remain, the dominant producer and one of the world's largest markets for polymer nanocomposites. Thus, there is a heavy focus on trends in this country.

Readers of this report will be able to distinguish the hype concerning the uses of polymer nanocomposites from the reality of the market. A number of potentially significant markets for polymer nanocomposites have received relatively little press, and many of the published articles concerning uses of these materials do not provide an accurate picture.

SCOPE AND FORMAT

To generate the information required to construct a reasonable future market for polymer nanocomposites, it is necessary to take a hard look at the potential advantages and pitfalls of the current crop of these materials as compared to conventionally filled polymers. This report does not delve into the likelihood of exotic new forms of transportation. instead, it is restricted to the possible replacement of existing conventional materials with polymer nanocomposites. Possible applications of nanocomposite materials within the next 5 years also are discussed.

This report features two types of polymer nanocomposites:

- Thermoplastic: these materials are broken into two major categories, i.e., commodity resins and engineering resins; the potential of polymer nanocomposite commodity resin is covered by filler types such as nanoclays, nanotubes and metal oxides.
- Thermoset nanocomposites: these have received less commercial interest during their development than have thermoplastic nanocomposites, but the materials have been more straightforward to produce.

The report is broken into five sections. First there is a technology overview that gives the broad details of polymer nanocomposites, along with some of their physical properties and methods of manufacture. Next there is an extensive description of the industry that is developing polymer nanocomposites including clay manufacturers, nanotube manufacturers, metal oxide filler manufacturers, thermoplastic resin producers, and compounders, along with company profiles. The products section covers nanocomposites by filler type, along with relevant resins for each nanocomposite. The report concludes with a market applications section that covers the likely trends over the next 5 years.

METHODOLOGY AND INFORMATION SOURCES

This report is the end result of 4 months of concerted effort by the author. Primary information sources were interviews with several dozen people in industry, academe and the government. The author also attended meetings and conferences, and much precious insight was gained from these sources as well. Many of the people interviewed are recognized authorities in the field, and provided invaluable assistance. I would like to thank all who took the time to speak with me for their help with this project.

Since this study was not commissioned by any corporation or individual, the author's brief in writing it was to be as objective as possible.

Secondary sources used for this report include a number of publications issued by the federal government, as well as items from the Internet, corporate literature and peer-reviewed literature.

Any time an estimate of a number is made, the underlying assumptions are discussed. Thus, if a reader chooses to interpret raw data in a different way, it is possible to do so. Dollar amounts are in constant 2003 dollars, and average annual growth rates (AAGRs) are calculated using standard tables.

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[Report]

Polymer Nanocomposites: Nanoparticles, Nanoclays and Nanotubes

Pub Time: 2004/03



Published by: Business Communications Co., Inc.

Distributed by: Global Information,

nc. Global Information, Inc.

Price

US \$ 3850.00 (Hard Copy)

US \$ 4428.00 (PDF by E-mail (Single User License))



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Glossary

A|B|C|D|E|F|G|H|I|J|K|L|M|N|O|P|Q|R|S|I|U|V|W|X|Y|

cation: a positively charged ion.

clay-chemical complex: an intimate association of montmorillonite clay and an intercalant (surface treatment), wherein the intercalant ionically bonds to the clay surface. The association creates a material which is compatible with host resins, permitting montmorillonite to disperse in them.

compatibilization: the process of surface modifying a nanoclay so that it is attracted to and will disperse in resin matrices. The two most common compatibilization classes are onium ion modification and ion-dipole interaction.

exfoliate: a noun, used in patent literature to describe a surface treated nanoclay, which posesses a sufficiently enlarged gallery spacing to permit the nanoclay to fully disperse (exfoliate) in a plastic matrix.

exfoliation: a process wherein packets of nanoclay platelets separate from one another in a plastic matrix. During exfoliation platelets at the outermost region of each packet cleave off, exposing more platelets for separation. Nanoclay compatibilization is essential for exfoliation.

gallery: space between parallel layers of montmorillonite clay platelets. The gallery spacing changes depending on what molecule or polymer occupies the space.

hydrophilic: a chemical environment favoring the attraction of water or materials which are miscible in water. Hydrophilic materials are characterized by strong dipole moments. They are basically immiscible with organophilics.

Imperm*: an ultra high barrier nanocomposite plastic which features enhanced barrier to gases, water vapor and hydrocarbon fuels. Product of Mitsubishi Gas Chemical, Inc Nanocor Alliance.

intercalant: an organic or semi-organic chemical capable of entering the montmorillonite clay gallery and bonding to the surface.

intercalate: a clay-chemical complex wherein the clay gallery spacing has increased, due to the process of surface modification. Under the proper conditions of temperature and shear, an intercalate is capable of exfoliating in a resin matrix.

interlayer space: the space between individual nanoclay platelets. It varies, depending on the type of molecules that occupy the space. Also known as "gallery space."

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ion-dipole interaction: a type of chemical bond formed between a charged ion and a molecule that contains a dipole moment and a partial localized negative charge. A classic example is water of hydration in many compounds. The complex has a definite ratio of organic or polymer to clay.

masterbatches/concentrates: plastic resin pellets which contain high loadings (40-50%) of nanoclay in partially dispersed form.

Masterbatches/concentrates can be letdown with additional resin to form nanocomposites with nanoclay loadings of 4-6%.

montmorillonite clay: the most common member of the smectite clay family. Montmorillonite is generally referred to as "nanoclay". It is also the most common material used in plastic nanocomposites.

nanoclay: a clay from the smectite family. Smectites have a unique morphology, featuring one dimension in the nanometer range.

nanocomposites: new class of plastics derived from a highly refined form of nanoclay that disperses in plastic resins. These nano-sized particles are composed of montmorillonite minerals.

nanocomposite technology: the materials and processes required to disperse nanoscale particles in plastics, metals, or ceramics.

Nanomer® nanoclays: surface modified montmorillonite clays, or masterbatches containing modified clays, that are utilized to make a nanocomposite. Nanomer nanoclays may be generically referred to as "intercalates" in patent literature.

nanometer: a unit of measure. One nanometer corresponds to a length which is one-billionth of a meter, or about one tenthousandth the diameter of a human hair.

onium ion modification: the formation of a clay-chemical complex using an intercalant (surface treatment) containing an ammonium or phosphonium functional group. The groups modify a nanoclay surface by ionically bonding to it, converting the surface from a hydrophilic to an organophilic species.

organophilic: a chemical or molecular orientation favoring the attraction of hydrocarbons or materials which are miscible in hydrocarbons. Organophilic materials are characterized by weak dipole moments. They are basically immiscible in water.

platelet: general shape of a montmorillonite particle, consisting of a sheet-like structure where the dimensions in two directions far exceed the particle's thickness.

thermoplastic: plastic that softens when exposed to heat and returns to its original condition when cooled to room temperature.

May 3rd - 4th, 2005 Pira's Innovations in PET Packaging Conference

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 My application has fairly large quantity requirements; can Nanocor meet our commercial needs?

10. What is Nanocor's patent position, and how does that effect our position in the market?

1. I've heard a lot about nanocomposites, but I do not see a lot of commercial products yet, how come?

There are a limited number of commercial products currently available, and many more set to begin commercial use in the near future. Many customers, after spending time and finances to develop these superior products, are not willing to let their competitors in on their secrets.

2. Why should I use Nanomer nanoclays vs. other nano materials, such as nanotubes, or nanometals?

The products offered by Nanocor are designed specifically for the plastic industry, and have the highest level of purity of any naturally produced nanoclay product on the market today. Nanomer nanoclays are significantly more cost competitive compared to nanotubes and nanometals.

3. What type of resin matrices are Nanomer nanoclays available for?

The number continues to grow but can be broken into three main categories, polyolefins, polyamides, and engineering resins. See our <u>Product Lines</u> section for an expanded listing. If you have questions about your specific resin or grade, please do not hesitate to inquire.

4. I am an end user; I do not have compounding capabilities. Can I take advantage of Nanomer technology?

Absolutely. Nanocor or one of our industry partners can provide material in several forms to include concentrate and finished nanocomposite. Concentrates are available from a number of companies; <u>Clariant</u>, <u>PolyOne</u>, and <u>RTP</u> to name a few. Finished nanocomposites are also available from Nanocor or certain resin producers, such as <u>Honeywell</u> and <u>Bayer</u>. Nanocor would be happy to show you how to best implement nanotechnology for your needs.

5. How do I know I have reached complete dispersion?

There are a number of tests that can be performed, but first it's important to understand that a completely exfoliated product is not always necessary to achieve your goals. Nanocor can show you how to ensure that your product is reaching its full potential, and how to perform both lab and product testing, to ensure consistent results.

6. Will I need to buy special equipment, or completely overhaul my process conditions?

One of the great benefits to processing Nanomer nanoclays is that normally there is no need to purchase special equipment, or significantly change your processing conditions. In fact in many cases Nanomer nanoclays can be easier on your equipment than other, more traditional additives.

7. Can I use Nanomer nanoclays in conjunction with other fillers or additives?

Yes. A number of applications have been developed combining our nanoclays with fiberglass and fillers such as talc or mica. However, it may be necessary to check with Nanocor technical staff to be sure there is no interaction between the surface treatment of the Nanomer nanoclays and other additives in your current formulation.

8. I've read a lot about the flame retardant capabilities of nanoclays; can I reach a UL V-O fire rating with Nanomer nanoclays alone?

The simple answer is no. However, we nanoclays can help you reduce the loading level of your current FR package, achieving higher properties, lower specific gravity, and significant cost savings. Also, a number of applications are currently under development to eliminate the use of halogen-based additives, due to environmental concerns surrounding this class of flame retardants.

9. My application has fairly large quantity requirements; can Nanocor meet our commercial needs?

Certainly. Nanocor currently has a production capacity of 7 million pounds of Nanomers annually, and infrastructure in place to raise capacity to well over 100 million pounds as the market needs increase.

10. What is Nanocor's patent position, and how does that affect our position in the market?

Nanocor currently has 40 issued patents, primarily involving the creation of Nanomer nanoclays and Nanomer surface treatments. Nanocor purposely avoids any down stream patents, in order to not impede customers from entering the market place. Nanocor also monitors the patent field for nanocomposites, and would be happy to discuss your concerns.

Technical | Commercial

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Alliances

Nanocomposite patents are owned by Nanocor's parent, AMCOL International Corp. We have been active in seeking patent
protection in this field and Nanocor is the beneficial holder of over two dozen US patents. We have also routinely filed international counterparts. Our central goal in seeking patent protection is simple: making the benefits of this technology broadly available to our customers.

Technical Papers

Patents This section contains information in abstract form for all issued US patents.

Industry Links			
News	Patent #	Title	Issue Date
Calendar of Events	6,596,803	A Polymer Nanocomposite Comprising a Matrix Polymer and a Layered Clay Material Having a Low Quartz Content	07.22.2003
Glossary	6,632,868	Intercalates Formed with Polypropylene/Maleic Anhydride Modified Polypropylene Intercalants	10.14.2003
Frequently Asked Questions	6,462,122	Intercalates Formed with Polypropylene/Maleic Anhydride- Modified Polypropylene Intercalants	10.08.2002
Contact Us Nanocor China	6,461,423B1	Intercalates and Exfoliates Formed with Hydroxyl- Functional; Polyhydroxyl-Functional and Aromatic Compounds; Composite Materials Containing Same and Methods of Modifying Rheology Therewith	10.08.2002
	6,407,155	Intercalates Formed Via Coupling Agent-Reaction And Onium Ion-Intercalation Pre-Treatment Of Layered Material For Polymer Intercalation	06.18.2002
	6,391,449	Polymer/Clay Intercalates, Exfoliates, And Nanocomposites Comprising A Clay Mixture And A Process For Making Same	05.21.2002
	6,387,996	Polymer/Clay Intercalates, Exfoliates And Nanocomposites Having Improved Gas Permeability Comprising A Clay Material With A Mixture Of Two Or More Organic Cations And A Process For Preparing Same	05.14.2002
	6,376,591	High Barrier Amorphous Polyamide-Clay Intercalates, Exfoliates, And Nanocomposites And A Process For Preparing Same	04.23.2002
	6,339,690 B2	Layered Compositions With Multi-Charged Onium As Exchange Cations, And Their Application To Prepare Monomer, Oligomer, And Polymer Intercalates And Nanocomposites Prepared With The Layered Compositions Of The Intercalates	06.04.2002
	6,262,162	Layered Compositions with Multi-Charged Onium Ions as	07.17.2001

	Exchange Cations, and Their Application to Prepare Monomer, Oligomer, and Polymer <u>Intercalates</u> and Nanocomposites Prepared with the Layered Compositions of the Intercalates	
6,251,980	Nanocomposites Formed by Onium Ion-Intercalated Clay and Rigid Anhydride-Cured Epoxy Resins	06.26.2001
6,242,500	Intercalates and Exfoliates Formed with Long Chain (C6+) or Aromatic Matrix Polymer-Compatible Monomeric/ Oligomeric or Polymeric Intercalant Compounds, and Composite Materials Containing Same	06.05.2001
6,235,533	Method of Determining the Composition of a Clay Deposit	05.22.2001
6,232,388	Intercalates Formed by Co-Intercalation of Onium Ion Spacing/Coupling Agents and Monomer, Oligomer or Polymer MXD6 Nylon Intercalants and Nanocomposites Prepared with the Intercalates	05.15.2001
6,228,903	Exfoliated Layered Materials and Nanocomposites Comprising Said Exfoliated Layered Materials Having Water-Insoluble Oligomers or Polymers Adhered Thereto	05.08.2001
6,225,394	Intercalates Formed by Co-Intercalation of Onium Ion Spacing/Coupling Agents and Monomer, Oligomer or Polymer Ethylene Vinyl Alcohol (EVOH) Intercalants and Nanocomposites Prepared with the Intercalates	05.01.2001
6,126,734	Intercalates and Exfoliates Formed with Hydroxyl- Functional; Polyhydroxyl-Functional; and Aromatic Compounds; Composite Materials Containing Same and Methods of Modifying Rheology Therewith	10.03.2000
6,124,365	Intercalates and Exfoliates Formed with Long Chain (C6+) or Aromatic Matrix Polymer-Compatible Monomeric, Oligomeric or Polymeric Intercalant Compounds and Composite Materials Containing Same	09.26.2000
6,107,387	Acidified Aqueous Dispersions of High Aspect Ratio Clays	08.22.2000
6,090,734	Process for Purifying Clay by the Hydrothermal Conversion of Silica Impurities to a Dioctahedral or Trioctahedral Smectite Clay	07.18.2000
6,083,559	Intercalates And Exfoliates Formed With Hydroxyl- Functional, Polyhydroxyl-Functional, And Aromatic Compounds; Composite Materials Containing Same And Methods Of Modifying Rheology Therewith.	07.04.2000
6,057,396	Intercalates Formed by Co-Intercalation of Monomer, Oligomer or Polymer Intercalants and Surface Modifier Intercalants and Layered Materials and Nanocomposites Prepared with the Intercalates	05.02.2000
6,050,509	Method of Manufacturing Polymer-Grade Clay for Use in Nanocomposites	04.18.2000
<u>5,998,528</u>	Viscous Carrier Compositions, Including Gels, Formed with an Organic Liquid Carrier, a Layered Material: Polymer Complex, and a Di-, and/or Tri-valent Cation	12.07.1999
<u>5,952,095</u>	Intercalates and Exfoliates Formed with Long Chain (C10 +) Monomeric Organic Intercalant Compounds; and Composite Materials Containing Same	09.14.1999

<u>5,880,197</u>	Intercalates and Exfoliates Formed with Monomeric Amines and Amides; Composite Materials Containing Same and Methods of Modifying Rheology Therewith	03.09.1999
5,877,248	Intercalates and Exfoliates Formed with Oligomers and Polymers and Composite Materials Containing Same	03.02.1999
<u>5,849,830</u>	Intercalates and Exfoliates Formed with N-alkenyl Amides and.or Acrylate-functional Pyrrolidone and Allylic Monomers, Oligomers and Copolymers and Composite Materials Containing Same	12.15.1998
<u>5,844,032</u>	Intercalates and Exfoliates Formed with Non-EVOH Monomers, Oligomers and Polymers; and EVOH Composite Materials Containing Same	12.01.1998
<u>5,830,528</u>	Intercalates and Exfoliates Formed with Hydroxyl- Functional; Polyhydroxyl-Functional; and Aromatic Compounds; Composites Materials Containing Same and Methods of Modifying Rheology Therewith	11.03.1998
5,804,613	Intercalates and Exfoliates Formed with Monomeric Carbonyl-Functional Organic Compounds, Including Carboxylic and Polycarboxylic Acids; Aldehydes; and Ketones; Composite Materials Containing Same and Methods of Modifying Rheology Therewith	09.08.1998
5,760,121	Intercalates and Exfoliates Formed with Oligomers and Polymers and Composite Materials Containing Same	06.02.1998
<u>5,721,306</u>	Viscous Carrier Compositions, Including Gels, Formed with an Organic Liquid Carrier and a Layered Material:Polymer Complex	02.24.1998
5,698,624	Exfoliated Layered Materials and Nanocomposites Comprising Matrix Polymers and Said Exfoliated Layered Materials Formed with Water-Insoluble Oligomers and Polymers	12.16.1997
<u>5,578,672</u>	Intercalates; Exfoliates; Process for Manufacturing Intercalates and Exfoliates and Composite Materials Containing Same	11.26.1996
<u>5,552,469</u>	Intercalates and Exfoliates Formed with Oligomers and Polymers and Composite Materials Containing Same	09.03.1996

Technical | Commercial

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(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 17 May 2001 (17.05.2001)

PCT

(10) International Publication Number WO 01/34685 A1

- (51) International Patent Classification?: C08J 3/28, 9/00, 9/06, C08K 3/34, 3/20, 3/22, C08L 23/06
- (21) International Application Number: PCT/US00/31174
- (22) International Filing Date:

13 November 2000 (13.11.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/164,589

10 November 1999 (10.11.1999) US

(71) Applicants (for all designated States except US): O'NEIL, Charles [US/US]; Foster Corporation, 329 Lake Road, P.O. Box 997, Dayville, CT 06241 (US). XU, Jianwei [US/US]; Foster Corporation, 329 Lake Road, P.O. Box 997, Dayville, CT 06351 (US).

- (71) Applicant and
- (72) Inventor: ACQUARULO, Lawrence, A., Jr. [US/US]; Foster Corporation, 329 Lake Road, P.O. Box 997, Dayville, CT 06351 (US).
- (74) Agents: HAYES, Oliver, W.; Hayes, Soloway, Hennessey, Grossman & Hage, P.C., 175 Canal Street, Manchester, NH 03101 et al. (US).
- (81) Designated State (national): US.
- (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Published:

With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

OPTIMIZING NANO-FILLER PERFORMANCE IN POLYMERS

The present invention relates to nano clays for use in thermoplastic/thermoset polymer materials, wherein the nano clay may be combined with another chemical ingredient, such as a crosslinking agent, to thereby provide a unique and overall synergistic effect on mechanical property performance.

Polymer composites comprising a polymer matrix having one or more additives such as a particulate or fiber material dispersed throughout the continuous polymer matrix are well known. The additive is often added to enhance one or more properties of the polymer. Useful additives include inorganic layered materials such as talc, clays and mica of micron size.

A number of techniques have been described for dispersing the inorganic layered material into a polymer matrix. It has been suggested to disperse individual layers, e.g., platelets, of the layered inorganic material, throughout the polymer. However, without some additional treatment, the polymer will not infiltrate into the space between the layers of the additive sufficiently and the layers of the layered inorganic material will not be sufficiently uniformly dispersed in the polymer.

To provide a more uniform dispersion, as described in U.S. Pat. No. 4,889,895 sodium or potassium ions normally present in natural forms of mica-type silicates and other multilayered particulate materials are exchanged with organic cations (e.g., alkylammonium ions or suitably functionalized organosilanes) thereby intercalating the individual layers of the multilayered materials, generally by ionic exchange of sodium or potassium ions. This intercalation can render the normally hydrophilic mica-type silicates organophilic and expand its interlayer distance. Subsequently, the layered material (conventionally referred to as "nanofillers") is mixed with a monomer and/or oligomer of the polymer and the monomer or oligomer polymerized.

The intercalated silicate is described as having a layer thickness of 7 to 12 [Angstrom] and an interlayer distance of 30 [Angstrom] or above.

In WO 93/11190, an alternative method for forming a composite is . 4 described in which an intercalated layered, particulate material having reactive organosilane compounds is dispersed in a thermoplastic polymer or vulcanizable rubber. Yet additional composites containing these so-called nanofillers and/or their methods of preparation are described in U.S. Pat. Nos. 4,739,007; 4,618,528; 4,528,235; 4,874,728; 4,889,885; 4,810,734; 4,889,885; 4,810,734; and 5,385,776; German Patent 3808623; Japanese Patent J02208358; European Patent applications 0,398,551; 0,358,415; 0,352,042; and 0,398,551; and J. Inclusion Phenomena 5, 473 (1987); Clay Minerals, 23, (1988), 27; Polym. Preprints, 32 (April 1991), 65-66; Polym. Prints, 28, (August 1987), 447-448; and Japan Kokai 76,109,998.

Nano clay fillers are also available based on tiny platelets of a special type of surface modified clay called montmorillonite. These surface treatments have been aimed for use with nylon-6 and polypropylene. The two manufacturers in the United States, Nanocor and Southern Clay Products, both point to increases in flexural modulus, heat distortion temperature and barrier properties.

Furthermore, attention is hereby directed to U.S. Patent Nos. 5,993,415 and 5,998,551 which, although not relating to nano clay fillers, describe the use of crosslinking promotors to improve properties of a thermoplastic material, and, as to be discussed below, are relevant to the present invention. Accordingly, the teachings of these patents are incorporated by reference.

In sum, therefore, even with the numerous described composites and methods, it still remains desirable to have an improved composite and method for forming polymer composites derived from a multilayered additive (nano clays) to thereby create composites having improved properties over the polymer on its own.

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Accordingly, it is an object of this invention to explore the suitability of combining the nano clays with an additional chemical component to establish whether or not the observed mechanical properties of a thermoplastic host resin are improved beyond the use of only a nano clay filler.

More specifically, it is an object of this invention to combine nano clays with a suitable crosslinking promotor, and to establish a synergistic effect of such promotors with the nano clay on the mechanical properties of a host thermoplastic matrix.

In addition, it is an object of this invention to apply the nano clays and additional chemical component described above (promotor) to develop an improved method to prepare materials suitable for use in medical product applications, such as balloon catheters and catheter shaft production.

By way of summary, the present invention comprises a composite comprising a polymer matrix having, dispersed therein, a nano clay in combination with a crosslinking promotor. By use of the term "nano clay" it is noted that such clays are inorganic minerals which have a high aspect ratio with at least one dimension of the particles therein in the nanometer range. By use of the term, "crosslinking promotor" it relates to any chemical compound that will promote crosslinking between those polymer chains that comprise the polymer matrix. Accordingly, it can be appreciate that "crosslinking promotors" include those functionalized chemical compounds that provide the requisite activity, upon activation (irradiation or heat) to chemical react and bond with the polymer chains to form covalent crosslinks between the surrounding polymer chains.

Preferably, the crosslinking promotor is trallylisocyanurate or trallylcyanurate, although those skilled in the art will recognize that other types of crosslinking promotors would be suitable and would fall within the broad aspects of this invention. In addition, preferably, the promotor is

present in the polymer matrix at a level of about 0.5% to 10% (wt.), and at any increment therebetween in 0.1% increments.

3 As noted, the nano clays are inorganic minerals with a high aspect ratio as one dimension of the particles therein falls in the nanometer range. A 4 5 variety of references are available to those skilled in the art which discuss and describe nano clays suitable herein. In such regard, the clays having a plate 6 structure and thickness of less than one nanometer are the clays of choice. 7 The length and width of the clays may fall in the micron range. Aspect ratios 8 9 of the preferred clays are in the 300:1 to 1,500: 1 range. In addition, the 10 surface area of the exfoliated clays is preferably in the range of 700 m²/gram. Nano clays that may be suitable herein include hydrotalcite, montmorillonite, 11 12 mica fluoride, octasilicate, and mixtures thereof. Nano clay is incorporated herein at a level of 1-10% (wt.) as well as any increment therebetween, in 0.1% 13 14 increments.

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Montmorillonite nano clays have a plate like structure with a unit thickness of one nanometer or less. This clay also has an aspect ratio in the 1000:1 range. Because montmorillonite clay is hydrophilic, it is not compatible with most polymers and should be chemically modified to make its surface more hydrophobic. The most widely used surface treatments are amonium cations which can be exchanged for existing cations already on the surface of the clay. The treated clay is then preferably incorported into the polymer matrix herein, by melt mixing by extrusion, more preferably, twin-In addition, at such time, and as noted above, the screw extrusion. crosslinking promotor can also be readily combined with the clay during the melt mixing process. Those skilled in the art will therefore recognize that, in general, any type of melt mixing process can be applied to prepare the composites of the present invention, including extrusion, direct injection molding, the use of a two-roll mill, etc.

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 With regards to the development of crosslinking herein, as noted, a crosslinking promotor is employed, and preferably, the formulations herein are exposed to irradiation. Preferably, the irradiation dosage is between about 1-20 MR, as well as any numerical value and/or increment therein.

In addition, the polymer matrix herein may be selected from any thermoplastic or thermoset type polymer resin host. A representative thermoplastic resin herein is a nylon resin, a nylon block copolymer, nylon block copolymers containing a polyamide block and an elastomeric block, engineering thermoplastic resins (e.g., polycarbonate, polyesters, polysulphones, polyketones, polyetherimides) as well as commodity type materials (polyethylene, polypropylene, polystyrene, poly(vinylchloride)) including thermoplastic elastomers. Representative thermoset materials include polyurethanes, epoxy polymers, etc.

In method form, the present invention relates to the steps of supplying a polymer matrix, combining said matrix with a nano clay along with a crosslinking promotor. This combination is then preferably exposed to irradiation to develop crosslinking. By the practice of such method, and as can be observed in the various working examples below, a synergistic influence of the promotor has been observed on the ability of the nano clay to improve the mechanical properties of a given polymer matrix. More specifically, in accordance with the invention herein, it has been found that should one combine a given polymer matrix with the nano clay, one will generally observe an increase in mechanical property performance, such as an increase in the flexural modulus. However, it has been found herein that upon incorporation of a crosslinking promotor, the effect of the nano clay is enhanced, in the sense that a synergy is observed as between the promotor and the nano clay on mechanical properties.

As a consequence of all the above, the formulations of the present invention are particularly suitable for the development of an intravascular

catheter having a tubular shaft comprising a nylon block copolymer and a nano clay filler, including a compound which promotes crosslinking therein, and a soft flexible tubular tip distal of and bonded to said shaft, the improvement comprising irradiation crosslinking said nylon block copolymer of said tubular shaft. The crosslinking is observed to increase the rigidity of the shaft relative to the soft distal tip.

In addition, the present invention also relates to a balloon type catheter having a tubular shaft comprising a nylon block copolymer and a nano clay filler, including a compound which promotes crosslinking therein, the improvement comprising irradiation crosslinking said nylon block copolymer of the balloon section.

Working Examples

First Experiment

The first experiment consisted of mixing the Nanocor 130 TGC clay and the southern Clay Closite 30B with Nylon 6 and with Nylon 6 and 3% TAIC. The Nylon 6 used with Allied's Capron B135 WP.

The flex modulus did increase with the use of both clays as was anticipated. The increase with the use of a crosslinking promotor was even greater, demonstrating a unique synergy as between the promotor and the nanoclay on mechanical properties. See Table I.

Second Experiment

The second experiment repeated the first experiment except that the Nylon 6 was replaced by PEBAX® 72 durometer polyamide ether block copolymer. In this case just adding the nano clay did not significantly increase the flex modulus. The surprise was the increase in flex modulus when crosslinking promotors, such as TAIC, was added to the PEBAX® and nano clay. The closite 30B shows the most improvement. A second unque effect was the increase in flex modulus when the combination was crosslinked. In fact the combination of PEBAX®, Closite (nano clay) and

1	TAIC followed by crosslinking more than doubles the flex modulus. See
2.	Table II.
3	Third Experiment
4	The third experiment was similar to the first experiment noted above
5	except the nylon-6 was replaced by nylon 12, AESNO® from Atochem. The
6	improvements in flex modulus were much like the improvements with the
7	PEBAX® in "Experiment Two", noted above. See Table III.
8	Fourth Experiment
9	The fourth experiment was similar to the third experiment noted
10	above, except that nylon-12 was replaced by nylon-11, BMNO® from
11	Atcohem. The improvements in flex modulus were much like the
12	improvements with the PEBAX® in "Experiment Two". See Table IV.
13	Fifth Experiment
14	The fifth experiment was similar to the above, except that both low
15	density and high density polyethylene were employed s the polymer matrix.
16	An improvement in flex modulus was again observed due to the combination
17	of nano clay and promotor (3% wt. TAIC). See Table V.
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	350,000	450,000	410,000	530,000	550,000	510,000	430,000	250,000	590,000	380,000	105,000 (35,000 160,000 275,000 135,000 210,000 150,000
	150	150	75	15	15	140	190	52	52	20	250 200 160 75 50 300 150
	9,500	9,500	6,200	7,200	9,500	9,400	13,250	10,300	10,100	9,500	8,000 7,600 6,500 6,500 8,500 7,800 7,900
	OMR	OMR	OMR	SMR	10MR	OMR	OMR	SMR	TOMR	SMR	OMR OMR GMR 10MR 5MR 5MR 5MR
	0	ß	S	S	S	2	2	S	45	0	ο ν ν ν ν ν ν ο
	CAPRON B135W	我	29C	29C	28C				29B	#	PEBAX 7233 TABLE II PEBAX 7233 29E 28A 28A 28A 28A 28D 28D 28D
	NONE	NANO-130TC	NANO-130TC	NANO-1301C	NANO-130TC				ပ္ပ		NONE CLOSITE 30B CLOSITE 30B CLOSITE 30B CLOSITE 30B HANO-130TC NANO-130TC NANO-130TC
	NYLON 6	NATON 8	NYLON 6 3% TAIC	NYLON 6 3% TAIC	NYLON 6 3% TAIC	NATON 6	NYLON 6 3% TAIC	PEBAX PEBAX PEBAX PEBAX 3%TAIC PEBAX 3%TAIC PEBAX 3%TAIC PEBAX 3%TAIC PEBAX 3%TAIC PEBAX 3%TAIC			

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•		TABLE III
•	ON FILLERS	_
	0	AESNO 0
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	S	2&B 5
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FLX-MOD 170,000 250,000 300,000 350,000
%STRAIN 250 200 125 75
BK-STRESS 10,000 9,400 9,000 8,500
IRA DOSE OMR OMR SMR 10MR
FILLER % 0 5 5 5 5
ATLON 11 TABLE IV FORMULATION BIMINO 28C 28C 28C
FILLER NONE CLOSITE 30B CLOSITE 30B CLOSITE 30B
POLYMER NYLON 11 NYLON 11 3%TAIC NYLON 11 3%TAIC NYLON 11 3%TAIC

	FLX-MOD % INC 0 10.8 28.9 42.8 50.8 54.5	21.4 37.8 50.2 48.8 54.3
	FLX-MOD 73,802 81,580 94,853 105,069 111,026 113,733	25,856 28,339 31,887 31,688 32,864
NANO CLAY IN HDPE & LDPE	%STRAIN 47 48 32 29 28 28 48	30 8 8 9 9 8 9 9 9 9 9 9 9 9 9 9 9 9 9 9
	BK-STRESS 2,317 2,231 1,734 2,474 2,866 3,180	1,000 1,434 1,890 2,034
	IRA DOSE OMR OMR 5MR 10MR 15MR 20MR	OMR 5MR 10MR 15MR 20MR
	FILLER % 0 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	သမာမာမာ
	FORMULATION 3364 - 30A 30A 30A 30A 30A 30A 30B	30B 30B 30B 30B
	FILLER NONE CLOSITE 308 CLOSITE 308 CLOSITE 308 CLOSITE 308 CLOSITE 308 CLOSITE 308	CLOSITE 308 CLOSITE 308 CLOSITE 308 CLOSITE 308
	POLYMER HDPE HDPE HDPE HDPE HDPE HDPE	LOPE

- 1 What is claimed is:
- A polymer composite comprising a polymer matrix having,
- 3 dispersed therein, a nano clay in combination with a crosslinking promotor.
- 4 2. The composite of claim 1, wherein said crosslinking promotor is
- 5 a chemical compound which promotes crosslinking between polymer chains
- 6 upon exposure to irradiation.
- 7 3. The composite of claim 1 wherein said crosslinking promotor is
- 8 triallylisocyanurate or triallylcyanurate.
- 9 4. The composite of claim 1 wherein said crosslinking promotor is
- 10 present at a level of about 0.5 to 10 % (wt).
- 11 5. The composite of claim 1 wherein said nano clay is present at a
- 12 level of about 1 to 10 % (wt).
- 13 6. The composite of claim 1 wherein said polymer matrix is a
- 14 thermoplastic polymer or thermoset polymer.
- 15 7. The composite of claim 1 wherein said polymer matrix is a
- 16 polyamide polymer or a polyamide copolymer comprising a polyamide block
- 17 and an elastomeric block.
- 18 8. The composite of claim 7 wherein said polyamide block is a
- 19 nylon-6, nylon-11, nylon-12, copolymers of nylon-6/nylon-11,
- 20 copolymers of nylon-6/nylon-12 or mixtures thereof.
- 21 9. The composite of claim 7 wherein said elastomeric block is
- 22 selected from a polyether, polyester, hydrocarbon, polysiloxane or mixtures
- 23 thereof.
- 24 10. A composite comprising a polymer matrix having, dispersed
- 25 therein, a nano clay in combination with a crosslinking promotor, wherein
- 26 said matrix is irradiation crosslinked.
- 27 11. A method for enhancing the mechanical properties of a polymer
- 28 composite, comprising:
- 29 (a) supplying a polymer matrix;

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1 (b) combining said matrix with a nano clay and a crosslinking 2 promotor; and

- 3 (c) irradiating the combination of step (b) and crosslinking.
- 12. The method of claim 11 wherein said polymer matrix comprises
 polymer chains, said promotor is a chemical compound that absorbs
 irradiation and becomes chemically reactive to form crosslinks, and wherein
 said crosslinks comprise covalent bonds between said polymer chains.
- 8 13. The method of claim 12, wherein said irradiation is 5, 10, 15 or 9 20 megarads.
 - 14. In an intravascular catheter having a tubular shaft comprising a nylon block copolymer and a soft flexible tubular tip distal of and bonded to said shaft, the improvement comprising adding a nano clay filler and a compound which promotes crosslinking therein to said nylon block copolymer forming said shaft, and irradiation crosslinking said nylon block copolymer of said tubular shaft.
 - 15. In a balloon type catheter having a tubular shaft comprising a nylon block copolymer and an integrally formed balloon section, the improvement comprising adding a nano clay filler and a compound which promotes crosslinking therein to said nylon block copolymer forming said balloon, and irradiation crosslinking said nylon block copolymer of the balloon section.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/31174

IPC(7) US CL According to	SSIFICATION OF SUBJECT MATTER CO8J 3/28, 9/00, 9/06, C08K 3/34, 3/20, 3/2 522/83, 117, 137, 523/216, 300, 521; 524/44 International Patent Classification (IPC) or to both	5, 447, 449, 451; 604/96, 508, 523.					
B. FIEL	DS SEARCHED		·				
	cumentation searched (classification system followed 52/83, 117, 137; 523/216, 300, 521; 524/445, 447,						
Documentati	on searched other than minimum documentation to the	ne extent that such documents are include	d in the fields searched				
	ata base consulted during the international search (na ontinuation Sheet	me of data base and, where practicable, s	search terms used)				
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Category *	Citation of document, with indication, where a		Relevant to claim No.				
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Y	28, lines 8-16, page 30, lines 23-29, page 33, line 28, to page 34, line 19, and Example 1.						
A	US 4,303,595 A (ALLEN) 01 December 1981, Abs 3, line 61, to column 4, line 22.	stract, column 2, lines 56-61, column	14-15				
Y,P	US 5,993,415 A (O,NEIL et al) 30 November 1999, Abstract, column 3, lines 37-58, and column 4, lines 37-50.						
	documents are listed in the continuation of Box C.	See patent family annex.					
•	pecial categories of cited documents: defining the general state of the art which is not considered to be	"T" later document published after the inte date and not in conflict with the applic principle or theory underlying the inve	ation but cited to understand the				
•	ar relevance Dication or patent published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be consider					
"L" document	which may throw doubts on priority claim(s) or which is cited to be publication date of another citation or other special reason (as	when the document is taken alone	•				
specified)		considered to involve an inventive step combined with one or more other such	when the document is documents, such combination				
"P" document	referring to an oral disclosure, use, exhibition or other means published prior to the international filing date but later than the	"&" document member of the same patent					
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INTERNATIONAL SEARCH REPORT

International application No.

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Continuation of B. FIELDS SEARCHED Item3: USPAT, DERWENT, EPO, JPO composites, montmorillonite, hydrotalcite, mica fluoride, ostasilicate, clay, talc, mica, si	: nano clay, nano fillers, nano
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